

# Subordination algebras and closed relations between compact Hausdorff spaces

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**De Vries duality** is a generalization of Stone duality to the category **KHaus** of compact Hausdorff spaces and continuous functions.

### Definition

An open subset  $U$  is called **regular open** if  $\text{int}(\text{cl}(U)) = U$ . The set  $\mathcal{RO}(X)$  of regular open subsets a topological space  $X$  forms a complete boolean algebra.

- $X \in \mathbf{KHaus}$  is sent to  $(\mathcal{RO}(X), \prec)$ , where  $U \prec V$  iff  $\text{cl}(U) \subseteq V$ .
- A continuous function  $f: X \rightarrow Y$  is sent to  $\mathcal{RO}(f): \mathcal{RO}(Y) \rightarrow \mathcal{RO}(X)$  given by  $\mathcal{RO}(f)(V) = \text{int}(\text{cl}(f^{-1}(V)))$ .

### Theorem (de Vries 1962)

*KHaus is dually equivalent to the category DeV of de Vries algebras and de Vries morphisms.*

**De Vries algebras** are complete boolean algebras equipped with binary relations satisfying some properties.

Our goal is to extend de Vries duality to closed relations between compact Hausdorff spaces.

### Definition

A binary relation  $R: X \rightarrow Y$  is **closed** if  $R \subseteq X \times Y$  is a closed subset.

### Proposition

*Let  $R: X \rightarrow Y$  be a relation between compact Hausdorff spaces.  $R$  is closed iff  $R[C]$  and  $R^{-1}[D]$  are closed for any  $C \subseteq X$  and  $D \subseteq Y$  closed subsets.*

Closed relations are natural generalizations of continuous functions and continuous relations.

The composition of two closed relations is closed.

If  $R_1: X \rightarrow Y$  and  $R_2: Y \rightarrow Z$ , then  $x (R_2 \circ R_1) z$  iff there exists  $y \in Y$  such that  $x R_1 y$  and  $y R_2 z$ .

Let's first generalize Stone duality to closed relations between Stone spaces.

## Definition

Let  $\text{Stone}^R$  be the category of Stone spaces and closed relations.

**Celani** in 2018 showed that  $\text{Stone}^R$  is dually equivalent to the category of boolean algebras and **quasi-semi-homomorphisms**, where  $\Delta: A \rightarrow B$  is a quasi-semi-homomorphism if it is a meet-semilattice homomorphisms from  $A$  to  $\text{Id}(B)$ .

A duality for  $\text{Stone}^R$  can also be derived from a duality due to **Jung, Kurz, and Moshier** (2019) that uses order enriched categories.

Since our goal is to generalize de Vries duality, we prefer to work with subordination relations between boolean algebras.

## Definition

A binary relation  $S: A \rightarrow B$  between boolean algebras is a **subordination** if:

- $0 S 0$  and  $1 S 1$ ;
- $a S c$  and  $b S c$  imply  $(a \vee b) S c$ ;
- $a S c$  and  $a S d$  imply  $a S (c \wedge d)$ ;
- $a \leq b S c \leq d$  implies  $a S d$ .

Let  $\mathbf{BA}^S$  be the category of boolean algebras and subordination relations, where the identity on  $B$  is  $\leq$ , and composition is relation composition.

## Theorem

Stone<sup>R</sup> is equivalent to BA<sup>S</sup>.

The functors behave as the ones of Stone duality on objects and as follows on morphisms:

- A closed relation  $R: X \rightarrow Y$  is sent to  $S_R: \text{Clop}(X) \rightarrow \text{Clop}(Y)$  defined by  $U S_R V$  iff  $R[U] \subseteq V$ .
- A subordination relation  $S: A \rightarrow B$  is sent to  $R_S: \text{Uf}(A) \rightarrow \text{Uf}(B)$  defined by  $x R_S y$  iff  $S[x] \subseteq y$ .

The hom-set  $\text{Stone}^R(X, Y) = \{R: X \rightarrow Y \text{ closed}\}$  ordered by inclusion is a complete lattice (actually a coframe).

We can also order  $\text{BA}^S(A, B) = \{S: A \rightarrow B \text{ subordination}\}$  by inclusion.

### Proposition

- $R_1 \subseteq R_2$  iff  $S_{R_2} \subseteq S_{R_1}$ .
- $S_1 \subseteq S_2$  iff  $R_{S_2} \subseteq R_{S_1}$ .

### Corollary

$\text{BA}^S(A, B)$  is a complete lattice (actually a frame).

It follows that  $\text{Stone}^R$  and  $\text{BA}^S$  are locally ordered 2-categories, a particular kind of 2-categories. The functors establishing the equivalence are covariant on 1-cells and contravariant on 2-cells.

There is additional structure on  $\text{Stone}^R$  and  $\text{BA}^S$ .

- If  $R: X \rightarrow Y$  is a closed relation, then there is a closed relation  $R^\smile: Y \rightarrow X$  given by  $y R^\smile x$  iff  $x R y$ .
- If  $S: A \rightarrow B$  is a subordination relation, then there is a subordination  $\widehat{S}: B \rightarrow A$  given by  $b \widehat{S} a$  iff  $\neg a S \neg b$ .

### Proposition

- $\text{Stone}^R$  and  $\text{BA}^S$  are dagger categories. In particular, they are isomorphic to their opposite category.
- $S_{R^\smile} = \widehat{S}_R$  and  $R_{\widehat{S}} = (R_S)^\smile$ .

Thus, we could also have obtained a dual equivalence between  $\text{Stone}^R$  and  $\text{BA}^S$ .

### Theorem

$\text{Stone}^R$  and  $\text{BA}^S$  are *allegories* and the functors between them are *morphisms of allegories*.



If you like category theory:

- By Stone duality Stone is equivalent to  $BA^{\text{op}}$ .
- Stone (and hence  $BA^{\text{op}}$ ) are regular categories. So their categories of **internal relations** (subobjects of binary products)  $\text{Rel}(\text{Stone})$  and  $\text{Rel}(BA^{\text{op}})$  are allegories.
- Stone duality lifts to an equivalence of allegories between  $\text{Rel}(\text{Stone})$  and  $\text{Rel}(BA^{\text{op}})$ .
- $\text{Stone}^{\text{R}} \cong \text{Rel}(\text{Stone})$  because internal relations in Stone correspond to Stone subspaces of  $X \times Y$ , which are closed relations.
- $BA^{\text{S}} \cong \text{Rel}(BA^{\text{op}})$  because internal relations in  $BA^{\text{op}}$  correspond to filters of  $A \oplus B$ , which correspond to subordinations.
- The compositions are exactly our functors.

$$\text{Stone}^{\text{R}} \cong \text{Rel}(\text{Stone}) \simeq \text{Rel}(BA^{\text{op}}) \cong BA^{\text{S}}$$

Let's move to compact Hausdorff spaces.

## Definition

Let  $\mathbf{KHaus}^R$  be the category of compact Hausdorff spaces and closed relations.

The idea is to treat compact Hausdorff spaces as quotients of Stone spaces.

## Proposition

*Compact Hausdorff spaces are, up to homeomorphism, the quotients of Stone spaces over closed equivalence relations.*

## Definition

Let  $\text{StoneE}^R$  be the category defined as follows:

- objects of  $\text{StoneE}^R$  are pairs  $(X, E)$ , where  $X$  is a Stone space and  $E$  is a closed equivalence relation on  $X$ ;
- a morphism  $R: (X_1, E_1) \rightarrow (X_2, E_2)$  is a closed relation  $R: X_1 \rightarrow X_2$  that is *compatible*, i.e.  $E_2 \circ R = R = R \circ E_1$ .

## Theorem

$\text{KHaus}^R$  and  $\text{StoneE}^R$  are equivalent (as allegories).

The equivalence is given by the functor  $\mathcal{Q}: \text{StoneE}^R \rightarrow \text{KHaus}^R$  that maps:

- a pair  $(X, E)$  to the quotient  $X/E$ ;
- a compatible closed relation  $R: (X_1, E_1) \rightarrow (X_2, E_2)$  to the induced relation  $\mathcal{Q}(R): X_1/E_1 \rightarrow X_2/E_2$ .

Equivalence relations in  $\text{Stone}^R$  can be characterized in the language of allegories:

- $\text{id}_X \subseteq R$  (reflexivity)
- $R = R^\smile$  (symmetry)
- $R \circ R \subseteq R$  (transitivity)

## Definition

A subordination  $S: B \rightarrow B$  is an **S5-subordination** if for all  $a, b \in B$ :

- $a S b$  implies  $a \leq b$ ; ( $S \subseteq \leq$ )
- $a S b$  implies  $\neg b S \neg a$ ; ( $S = \widehat{S}$ )
- $a S b$  implies there is  $c \in B$  such that  $a S c$  and  $c S b$ . ( $S \subseteq S \circ S$ )

Let **SubS5<sup>S</sup>** the category defined as follows:

- objects of **SubS5<sup>S</sup>** are pairs  $(B, S)$ , where  $B$  is a boolean algebra and  $S$  is an S5-subordination (called **S5-subordination algebras**);
- a morphism  $T: (B_1, S_1) \rightarrow (B_2, S_2)$  is a subordination  $T: B_1 \rightarrow B_2$  that is **compatible**, i.e.  $T \circ S_1 = T = S_2 \circ T$ .

The equivalence between  $\text{Stone}^R$  and  $\text{BA}^S$  can be lifted.

### Theorem

$\text{StoneE}^R$  and  $\text{SubS5}^S$  are equivalent (as allegories).

This can also be seen in the language of allegories:  $\text{StoneE}^R$  and  $\text{SubS5}^S$  are obtained by [splitting the equivalences](#) of  $\text{Stone}^R$  and  $\text{BA}^S$ .

### Corollary

$\text{KHaus}^R$  is equivalent to  $\text{SubS5}^S$  (as allegories).

Let's look at de Vries algebras more in detail.

## Definition

A de Vries algebra is a pair  $(B, \prec)$ , where  $B$  is a complete boolean algebra and  $\prec$  a binary relation on  $B$  such that

- $0 \prec 0$  and  $1 \prec 1$ ;
- $a \prec c$  and  $b \prec c$  imply  $(a \vee b) \prec c$ ;
- $a \prec c$  and  $a \prec d$  imply  $a \prec (c \wedge d)$ ;
- $a \leq b \prec c \leq d$  implies  $a \prec d$ .
- $a \prec b$  implies  $a \leq b$ ;
- $a \prec b$  implies  $\neg b \prec \neg a$ ;
- $a \prec b$  implies there is  $c \in B$  such that  $a \prec c$  and  $c \prec b$ ;
- $a \neq 0$  implies there is  $b \neq 0$  such that  $b \prec a$ .

De Vries algebras are S5-subordination algebras!

There are two additional conditions: they are complete boolean algebras and satisfy an additional axiom.

Since S5-subordination algebras can be thought of as a generalization of de Vries algebras, the equivalence between  $\text{KHaus}^R$  and  $\text{SubS5}^S$  can be thought of as a generalization of de Vries duality.

We can consider the full subcategory  $\text{DeV}^S$  of  $\text{SubS5}^S$  consisting of de Vries algebras. What is the subcategory of  $\text{StoneE}^R$  corresponding to  $\text{DeV}^S$ ?

### Definition

- A **Gleason space** is an object  $(X, E)$  of  $\text{StoneE}^R$  such that:
  - $X$  is extremally disconnected (closure of each open set is open);
  - $E$  is irreducible (if a closed subset  $C \subseteq X$  is proper, then so is  $E[C]$ ).
- We let  $\text{Gle}^R$  denote the full subcategory of  $\text{StoneE}^R$  whose objects are Gleason spaces.

### Theorem

*The equivalence between  $\text{StoneE}^R$  and  $\text{SubS5}^S$  restricts to an equivalence (of allegories) between  $\text{Gle}^R$  and  $\text{DeV}^S$ .*

$$\begin{array}{ccccc}
 \text{KHaus}^{\mathbb{R}} & \longleftrightarrow & \text{StoneE}^{\mathbb{R}} & \longleftrightarrow & \text{SubS5}^{\mathbb{S}} \\
 & & \uparrow & & \uparrow \\
 & & \text{Gle}^{\mathbb{R}} & \longleftrightarrow & \text{DeV}^{\mathbb{S}}
 \end{array}$$

## Theorem

*The inclusion  $\text{Gle}^{\mathbb{R}} \hookrightarrow \text{StoneE}^{\mathbb{R}}$  is an equivalence (of allegories).*

## Corollary

*All the maps in the diagram above are equivalences (of allegories).*



## Theorem

$\text{KHaus}^{\text{R}}$  and  $\text{DeV}^{\text{S}}$  are equivalent (as allegories).

The functors establishing this equivalence behave as the ones of de Vries duality on objects.

We describe the functor  $\mathcal{RO}: \text{KHaus}^{\text{R}} \rightarrow \text{DeV}^{\text{S}}$ :

- To a compact Hausdorff space  $X$  it is associated the de Vries algebra  $(\mathcal{RO}(X), \prec)$  of regular opens of  $X$  equipped with the relation  $\prec$  given by  $U \prec V$  iff  $\text{cl}(U) \subseteq V$ .
- If  $R: X \rightarrow Y$  is a closed relation, we associate the subordination  $\mathcal{RO}(R)$  given by  $U \mathcal{RO}(R) V$  iff  $R[\text{cl}(U)] \subseteq V$ .

How does the equivalence between  $\text{KHaus}^R$  and  $\text{DeV}^S$  restricts to  $\text{KHaus}$ ?

### Proposition

Let  $R: (X_1, E_1) \rightarrow (X_2, E_2)$  be a compatible closed relation between Gleason spaces.

The closed relation  $Q(R): X_1/E_1 \rightarrow X_2/E_2$  is a continuous function iff  $E_1 \subseteq R^\vee \circ R$  and  $R \circ R^\vee \subseteq E_2$ .

If  $E_1 \subseteq R^\vee \circ R$  and  $R \circ R^\vee \subseteq E_2$ , then we say that  $R$  is **functional**.

### Definition

Let  $\text{Gle}^F$  be the category of Gleason spaces and functional compatible closed relations.

### Theorem

$Q: \text{Gle}^F \rightarrow \text{KHaus}$  is an equivalence.

## Definition

We say that a compatible subordination  $T: (B_1, \prec_1) \rightarrow (B_2, \prec_2)$  is **functional** if  $\widehat{T} \circ T \subseteq \prec_1$  and  $\prec_2 \subseteq T \circ \widehat{T}$ .

Let  $\text{DeV}^F$  be the category of de Vries algebras and functional subordinations.

## Theorem

- $\text{Gle}^F$  is equivalent to  $\text{DeV}^F$ .
- $\text{KHaus}$  is equivalent to  $\text{DeV}^F$ .
- $\text{DeV}$  is dually isomorphic to  $\text{DeV}^F$ .

$$\begin{array}{ccccc} \text{KHaus}^R & \longleftrightarrow & \text{Gle}^R & \longleftrightarrow & \text{DeV}^S \\ \uparrow & & \uparrow & & \uparrow \\ \text{KHaus} & \longleftrightarrow & \text{Gle}^F & \longleftrightarrow & \text{DeV}^F \xleftarrow{d} \text{DeV} \end{array}$$

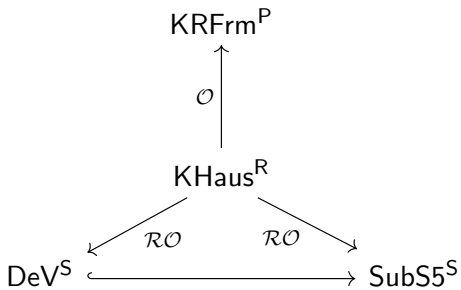
**Isbell duality** establishes a dual equivalence between  $\mathbf{KHaus}$  and the category of compact regular frames and frame homomorphisms.

Isbell duality has been generalized to closed relations:

**Theorem (Townsend 1996, Jung, Kegelman, Moshier 2001)**

$\mathbf{KHaus}^R$  is dually equivalent to the category  $\mathbf{KRFrm}^P$  of compact regular frames and preframe homomorphisms.

- To each compact Hausdorff space  $X$  it is associated the frame  $\mathcal{O}(X)$  of open subsets of  $X$ .
- To each closed relation  $R: X \rightarrow Y$  it is associated the map  $\mathcal{O}(R): \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$  given by  $\mathcal{O}(R)(V) = X \setminus R^{-1}[Y \setminus V]$ .



## Definition

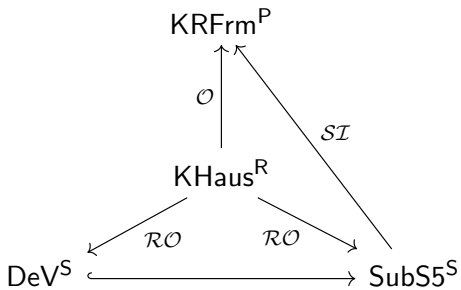
A **subordination ideal** of an S5-subordination algebra  $(B, S)$  is an ideal  $I$  of  $B$  such that  $S^{-1}[I] = I$ . The subordination ideals of  $(B, S)$  form a compact regular frame, denoted  $\mathcal{SI}(B, S)$ .

$\mathcal{SI}$  becomes a contravariant functor by mapping a compatible subordination  $T$  to the map given by  $I \mapsto T^{-1}[I]$ .

## Theorem

$\mathcal{SI}: \text{SubS5}^S \rightarrow \text{KRFrm}^P$  is a dual equivalence.

$\mathcal{SI}$  is a generalization of the ideal completion. Indeed, if  $S = \leq$  on  $B$ , then  $\mathcal{SI}(B, \leq)$  is exactly the ideal completion of  $B$ .



## Definition

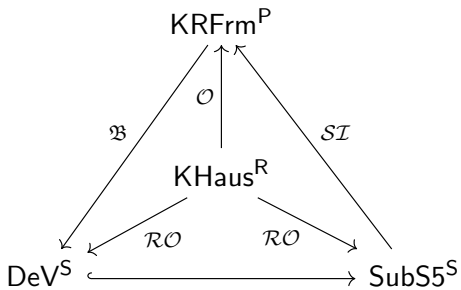
If  $L$  is a compact regular frame, then the set  $\mathfrak{B}(L) = \{a \in L \mid \neg\neg a = a\}$  form a boolean algebra, called the **booleanization** of  $L$ . Moreover,  $(\mathfrak{B}(L), \prec)$  is a de Vries algebra with  $a \prec b$  iff  $\neg a \vee b = 1$ .

$\mathfrak{B}$  becomes a contravariant functor by mapping a preframe homomorphism  $f: L_1 \rightarrow L_2$  to the compatible subordination relation  $\mathfrak{B}(f): (\mathfrak{B}(L_2), \prec_1) \rightarrow (\mathfrak{B}(L_1), \prec_2)$  given by  $a \mathfrak{B}(f) b$  iff  $b \prec f(a)$ .

## Theorem

$\mathfrak{B}: \text{KRFrm}^P \rightarrow \text{DeV}^S$  is a dual equivalence.





We denote by  $\mathcal{NI}$  the composition  $\mathfrak{B} \circ \mathcal{SI}$ .

### Corollary

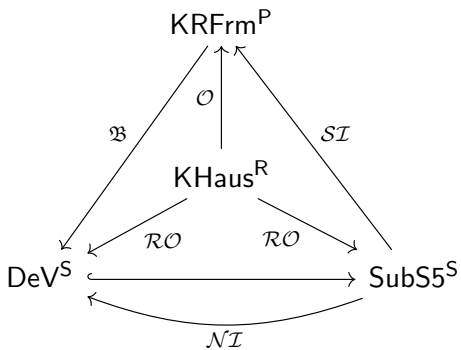
$\mathcal{NI}: \text{SubS5}^S \rightarrow \text{DeV}^S$  is an equivalence.

If  $(B, S)$  is an S5-subordination algebra, then  $\mathcal{NI}(B, S)$  is a de Vries algebra whose objects are the regular elements of the frame of subordination ideals of  $(B, S)$  that we call **normal subordination ideals**.

### Proposition

A subordination ideal  $I$  of  $(B, S)$  is normal iff  $I = S^{-1}[L(S[U(I)])]$ , where  $L(X)$  and  $U(X)$  denote the sets of lower and upper bounds of a subset  $X \subseteq B$ .

$\mathcal{NI}$  is a generalization of the MacNeille completion. Indeed, if  $S = \leq$ , then  $\mathcal{NI}(B, \leq)$  is the MacNeille completion of  $B$ .



THANK YOU!