# La dualità di Baker-Beynon oltre la semisemplicità

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# Baker-Beynon duality

# Definition

A Riesz space  $V$  is an  $\mathbb R$ -vector space equipped with a lattice structure such that for every  $u, v, w \in V$  and  $0 \le r \in \mathbb{R}$ :

• if  $u \le v$ , then  $u + w \le v + w$  and  $ru \le rv$ .

A map between Riesz spaces is a Riesz space homomorphism if it is a linear map and a lattice homomorphism.

### Examples of Riesz spaces

$$
\bullet\ \mathbb{R}
$$

$$
\bullet\;\mathbb{R}^X\;\text{for a set}\;X
$$

- $\mathbb{R} \overrightarrow{\times} \mathbb{R}$  (lexicographic product)
- $\bullet$   $C(X,\mathbb{R})$  for a topological space X

A continuous function  $f\colon\mathbb{R}^{\kappa}\to\mathbb{R}$  is piecewise linear (homogeneous) if there exist  $g_1,\ldots,g_n\colon\mathbb{R}^{\kappa}\to\mathbb{R}$  linear homogeneous functions (each in finitely many variables) such that for each  $x\in\mathbb{R}^{\kappa}$  we have  $f(x)=g_i(x)$ for some  $i = 1, \ldots, n$ .



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The piecewise linear functions  $f\colon\mathbb{R}^{\kappa}\to\mathbb{R}$  form a Riesz space that we denote by PWL( $\mathbb{R}^{\kappa}$ ).

### Theorem (Baker 1968)

Let *κ* be a cardinal. The free Riesz space on *κ* generators is isomorphic to PWL( $\mathbb{R}^{\kappa}$ ). The free generators correspond to the projection maps onto each coordinate.

If  $X \subseteq \mathbb{R}^{\kappa}$ , we let  $\mathsf{PWL}(X) \coloneqq \{f|_X \text{ with } f \in \mathsf{PWL}(\mathbb{R}^{\kappa})\}.$ 

Which Riesz spaces are isomorphic to  $\mathsf{PWL}(X)$  for some  $X \subseteq \mathbb{R}^\kappa?$ 

### Definition

An *ℓ*-ideal in a Riesz space is a subgroup (linear subspace) I that is convex, i.e.  $|a| \leq |b|$  and  $b \in I$  imply  $a \in I$ .

### Definition

- A nontrivial Riesz space A is simple if {0} and A are the only *ℓ*-ideals of A.
- A Riesz space is semisimple if the intersection of all its maximal *ℓ*-ideals is {0}.

# Proposition

- $\bullet$  A Riesz space is simple iff it is isomorphic to  $\mathbb{R}$ .
- A Riesz space is semisimple iff it can be (subdirectly) embedded into a power of  $\mathbb{R}$ .
- $PWL(X)$  is semisimple for any  $X \subseteq \mathbb{R}^k$ .

# Theorem (Baker 1968)

Every semisimple Riesz space is isomorphic to  $PWL(C)$  for some closed cone  $C \subseteq \mathbb{R}^{\kappa}$ .

# Definition

A nonempty subset  $C \subseteq \mathbb{R}^{\kappa}$  is a closed cone if it is closed under multiplication by nonnegative scalars and it is topologically closed in R *κ* with the euclidean topology.



This representation result extends to Baker-Beynon duality.

Let  $\mathscr{F}_\kappa$  be the free Riesz space over  $\kappa$  generators. For any  $\mathcal{T} \subseteq \mathscr{F}_\kappa$  and  $\mathcal{S} \subseteq \mathbb{R}^\kappa$ , we define the following operators.

$$
V(T) = \{x \in \mathbb{R}^k \mid t(x) = 0 \text{ for all } [t] \in T\}
$$
  

$$
I(S) = \{[t] \in \mathcal{F}_{\kappa} \mid t(x) = 0 \text{ for all } x \in S\}.
$$

### Galois connection

$$
T\subseteq I(S) \quad \text{iff} \quad S\subseteq V(T) \ .
$$

- $V(\mathcal{T})$  is always a closed cone of  $\mathbb{R}^{\kappa}.$
- **•** I(S) is always an *ℓ*-ideal of  $\mathcal{F}_k$  that is intersection of maximal *ℓ*-ideals.

### Proposition

V and I form a dual isomorphism between the poset of *ℓ*-ideals of F*<sup>κ</sup>* that are intersections of maximal *ℓ*-ideals and the poset of closed cones in  $\mathbb{R}^k$ .

### Theorem (Beynon 1974)

The category of semisimple Riesz spaces and Riesz space homomorphisms is dually equivalent to the category of closed cones in  $\mathbb{R}^k$  and piecewise linear maps between them.

On objects:

Let A be a semisimple Riesz space, then  $A \cong \mathscr{F}_{\kappa}/J$ , where J is an intersection of maximal *ℓ*-ideals of  $\mathcal{F}_\kappa$ . Then map

 $A \mapsto V(J)$ ,

which is a closed cone in R *κ* .

Let C be a closed cone in R *κ* . Then map

 $C \mapsto \text{PWL}(C)$ ,

which is semisimple and isomorphic to  $\mathscr{F}_{\kappa}$  / I(C).

 $\mathbb R$  (as a Riesz space) is dual to the semiline  $\{x \in \mathbb R \mid x \geq 0\}.$ Indeed,  $\mathbb{R} \cong \text{PWL}(\{x \in \mathbb{R} \mid x \geq 0\})$ .  $\mathscr{F}_2 / \langle (x - y) \wedge y \wedge 0 \rangle$  is dual to  $\{(x, y) \in \mathbb{R}^2 \mid 0 \le y \le x\}.$ 



Generalizing Baker-Beynon duality beyond semisimplicity

In the definition of the operators

$$
V(T) = \{x \in \mathbb{R}^k \mid t(x) = 0 \text{ for all } [t] \in T\} \text{ with } T \subseteq \mathcal{F}_\kappa
$$
  

$$
I(S) = \{[t] \in \mathcal{F}_\kappa \mid t(x) = 0 \text{ for all } x \in S\} \text{ with } S \subseteq \mathbb{R}^\kappa.
$$

we can replace  $\mathbb R$  with any Riesz space A and still get a Galois connection. In the definition of the operators

$$
\mathsf{V}(\mathcal{T}) = \{x \in A^{\kappa} \mid t(x) = 0 \text{ for all } [t] \in \mathcal{T}\} \text{ with } \mathcal{T} \subseteq \mathscr{F}_{\kappa}
$$

$$
\mathsf{I}(S) = \{[t] \in \mathscr{F}_{\kappa} \mid t(x) = 0 \text{ for all } x \in S\} \text{ with } S \subseteq A^{\kappa}.
$$

we can replace  $\mathbb R$  with any Riesz space A and still get a Galois connection.

Caramello, Marra, and Spada (2021) observed that this can be done for any variety of algebras by replacing  $\mathbb R$  with any algebra in that variety. They also show that this approach also works in a more categorical setting.

Our goal is to replace R with a Riesz space that guarantees more *ℓ*-ideals of  $\mathscr{F}_k$  to be fixpoints of IV.

It is not possible to obtain a Riesz space A such that for any *κ* the fixpoints of IV are all the  $\ell$ -ideals of  $\mathscr{F}_{\kappa}$ .

### **Definition**

An  $\ell$ -ideal *I* is prime if  $a \wedge b \in I$  implies  $a \in I$  or  $b \in I$ .

#### Theorem

- A/I is linearly ordered iff I is prime.
- Every *ℓ*-ideal is an intersection of prime *ℓ*-ideals.
- Every Riesz space is subdirect product of linearly ordered ones.

# Theorem (C., Lapenta, Spada)

Let  $\alpha$  be a cardinal. There exists an ultrapower U of  $\mathbb R$  in which all *κ*-generated (with *κ < α*) linearly ordered Riesz spaces embed. In particular, when  $\alpha = \omega$  we can take U to be any ultrapower of R over a nonprincipal ultrafilter of a countably infinite set.

Fix a cardinal  $\alpha$  and an ultrapower U of R in which all  $\kappa$ -generated with *κ < α* linearly ordered Riesz spaces embed. *κ* will denote an arbitrary cardinal smaller than *α*.

We consider the operators:

$$
V(T) = \{x \in \mathcal{U}^{\kappa} \mid t(x) = 0 \text{ for all } [t] \in T\} \text{ with } T \subseteq \mathcal{F}_{\kappa}
$$

$$
I(S) = \{[t] \in \mathcal{F}_{\kappa} \mid t(x) = 0 \text{ for all } x \in S\} \text{ with } S \subseteq \mathcal{U}^{\kappa}.
$$

Galois connection

$$
\mathcal{T}\subseteq I(S) \quad \text{iff} \quad S\subseteq V(\mathcal{T})\ .
$$

- **•** The fixpoints of IV are exactly the *ℓ*-ideals of  $\mathcal{F}_k$ .
- We call  $S \subseteq \mathcal{U}^{\kappa}$  such that  $S = \mathsf{V} \mathsf{I}(S)$  a generalized closed cone.

#### Proposition

V and I establish a dual isomorphism between the poset of *ℓ*-ideals of F*<sup>κ</sup>* and the poset of generalized closed cones in  $U^k$ .

### Definition

- We say that a map  $\mathcal{U}^{\kappa} \to \mathcal{U}^{\mu}$  is <mark>definable</mark> if its components are defined by terms in the language of Riesz spaces.
- If  $X \subseteq \mathcal{U}^{\kappa}$ , we denote by  $\overline{\mathsf{Def}(X)}$  the set of definable maps  $f \colon X \to \mathcal{U}.$

### Theorem (C., Lapenta, Spada)

The category of *κ*-generated Riesz spaces (with *κ < α*) and Riesz space homomorphisms is dually equivalent to the category of generalized closed *cones in*  $\mathcal{U}^{\kappa}$  (with  $\kappa < \alpha$ ) and definable maps.

On objects:

Let A be a  $\kappa$ -generated Riesz space, so  $A \cong \mathscr{F}_{\kappa}/J$ . Then map

$$
A\mapsto V(J),
$$

which is a generalized closed cone in  $\mathcal{U}^{\kappa}$ .

Let C be a generalized closed cone in  $\mathcal{U}^{\kappa}$ . Then map

 $C \mapsto Def(C)$ ,

which is isomorphic to  $\mathcal{F}_k / I(C)$ .

# Consequences and applications

### Proposition

- The generalized closed cones in  $\mathcal{U}^{\kappa}$  (together with  $\varnothing$ ) form the closed of a topology on  $\mathcal{U}^{\kappa}$ . The closure of a nonempty  $X \subseteq \mathcal{U}^{\kappa}$  is  $\mathsf{V} \mathsf{I}(X)$ .
- $\mathbb{R}^{\kappa}$  is a subset of U<sup>κ</sup> and the closed subsets of  $\mathbb{R}^{\kappa}$  with the subspace topology are exactly the closed cones (and  $\varnothing$ ).



### Definition

- Recall that an Riesz space is semisimple if the intersection of all its maximal *ℓ*-ideals is {0}.
- A Riesz space A is called Archimedean if for every  $a, b \in A$ , we have that *na*  $\leq b$  for all *n*  $\in \mathbb{N}$  implies *a*  $\leq 0$ .
- **•** Semisemplicity always implies Archimedeanity.
- Archimedeanity implies semisimplicity in the presence of a strong order-unit (e.g., in the finitely generated setting).

#### Theorem

Let A be a Riesz space and  $C \subseteq \mathcal{U}^{\kappa}$  its dual generalized closed cone. A is semisimple iff  $C = \mathsf{VI}(C \cap \mathbb{R}^k)$ , i.e.  $C$  is the closure of  $C \cap \mathbb{R}^k$  in  $\mathcal{U}^k$ .

**Note that**  $C \cap \mathbb{R}^k$  **is the closed cone in**  $\mathbb{R}^k$  **corresponding to A under** Baker-Beynon duality.

For any natural number  $n\geq 1$  let  $\pi_n\colon \mathcal{U}^\omega \to \mathcal{U}^n$  be the map that sends  $(x_i)_{i\in\omega}$  to  $(x_1,\ldots,x_n)$ .

#### Theorem

Let A be an *ω*-generated Riesz space and C ⊆ U*<sup>ω</sup>* its dual generalized closed cone.

Then A is archimedean iff

$$
C=\bigcap_{n=1}^{\infty}\pi_n^{-1}[V\mathsf{I}(V\mathsf{I}(\pi_n[C])\cap\mathbb{R}^n)],
$$

where the subsets  $\pi_n^{-1}[\nabla\, {\sf I}(\nabla\, {\sf I}(\pi_n[C]) \cap {\mathbb R}^n)]$  form a decreasing sequence of generalized closed cones in U *ω* .

When  $\kappa > \omega$ , the decreasing sequence must be replaced by a downdirected family of generalized closed cones in  $\mathcal{U}^{\kappa}$ .

# Embedding Spec(F*κ*) into U *κ*

If A is a Riesz space, then  $Spec(A) = \{prime \$ . ideals of  $A\}$  is called the spectrum of A. It is naturally equipped with the Zariski topology generated by the closed subsets  $\{P \in \text{Spec}(A) \mid a \in P\}$ , where a ranges in A.

If  $P$  is a prime  $\ell$ -ideal of  ${\mathscr F}_\kappa$ , then  $\mathsf{V}(P)$  is the closure of a point of  $\mathcal{U}^\kappa.$ For each prime  $\ell$ -ideal P choose one such point and denote it by  $\mathscr{E}(P)$ .

#### Theorem

 ${\mathscr E} \colon \operatorname{Spec}({\mathscr F}_\kappa) \to \mathcal{U}^\kappa$  is a topological embedding.

The posets of the open subsets of  $\text{Spec}(\mathscr{F}_\kappa)$  and of  $\mathcal{U}^\kappa\setminus\{0\}$  are isomorphic.

 ${\mathscr E} \colon \operatorname{\mathsf{Spec}}\nolimits(\mathscr{F}_\kappa) \to \mathcal{U}^\kappa$  can be thought of as a coordinatization of Spec( $\mathscr{F}_\kappa$ ) with coordinates in  $\mathcal{U}$ .

- By the correspondence theorem, if A ∼= F*<sup>κ</sup> /*J, then we can think of Spec(A) as a subspace of Spec( $\mathscr{F}_\kappa$ ).
- ${\mathscr E}$  restricts to an embedding of Spec(A) into  ${\cal U}^{\kappa}$  whose image is  $\mathscr{E}[\text{Spec}(\mathscr{F}_\kappa)] \cap V(J).$

While the spectrum as a topological space is not sufficient to recover the original Riesz space, the coordinatization is enough:

#### Theorem

 $A \cong Def(\mathscr{E}[\text{Spec}(A)])$  for any Riesz space A.

# $U$  as the non-standard line

Let  $\alpha = \omega$  and assume that  $\mathcal U$  is an ultrapower of  $\mathbb R$  defined as  $\mathcal U = \mathbb R^{\mathbb N}/\mathcal F$ with  $\mathcal F$  a nonprincipal ultrafilter of  $\mathcal P(\mathbb N)$ .

We can think of  $U$  as a non-standard line.  $U$  is a linearly ordered field containing (a copy of)  $\mathbb R$ . The elements of  $\mathcal U$  are called hyperreal numbers.

- $x \in \mathcal{U}$  is infinitesimal if  $|x| \leq r$  for every  $0 < r \in \mathbb{R}$ .
- $x \in \mathcal{U}$  is unlimited if  $|x| > r$  for every  $0 < r \in \mathbb{R}$ .

Working with an ultrapower allows us to define the enlargement  $^*A \subseteq \mathcal{U}^n$ of a subset  $A \subseteq \mathbb{R}^n$ , as well as the enlargement  ${}^*f \colon \mathcal{U}^n \to \mathcal{U}$  of a function  $f: \mathbb{R}^n \to \mathbb{R}$ .

### Theorem (Transfer principle)

Let  $\varphi$  be a first-order sentence. Then  $\varphi$  is true in  $\mathbb R$  iff  $^*\varphi$  is true in U.

 $A \subseteq {}^{\ast}A$ .

- If A is finite, then  $A = {}^*A$ .
- <sup>\*</sup>Q contains both nonzero infinitesimal and unlimited numbers.

Let  $g: U^n \to U$  be definable, i.e. there is a term t such that  $g(x) = t(x)$ for all  $x\in\mathcal{U}^n$ . If  $f\colon\mathbb{R}^n\to\mathbb{R}$  is the piecewise linear function defined by the same term, then  $g = {}^*f$ .

#### Proposition

Let  $C \subset \mathcal{U}^n$  be a generalized closed cone. Then  $\text{Def}(C) = \{({}^*\!f)_{|C} \mid f : \mathbb{R}^n \to \mathbb{R} \text{ piecewise linear}\}.$ 

The graph of  ${}^*f: \mathcal{U}^n \to \mathcal{U}$  is just the enlargement of the graph of  $f$ .



Definable functions naturally generalize piecewise linear functions.

Let  $\mathbb{R}\overrightarrow{\times}\mathbb{R}.$  Then its dual generalized closed cone is

 $C = \{ (x, y) \in \mathcal{U}^2 \mid x > 0, y \ge 0, \text{ and } y/x \text{ is infinitesimal} \} \cup \{ (0, 0) \}.$ 



So,

$$
\mathbb{R} \overrightarrow{\times} \mathbb{R} \cong \text{Def}(C) = \{({}^*f)_{|C} | f : \mathbb{R}^2 \to \mathbb{R} \text{ piecewise linear}\}
$$

$$
= \{({}^*f)_{|C} | f : \mathbb{R}^2 \to \mathbb{R} \text{ linear}\}.
$$

# Indexes and prime ideals

We have seen that prime *ℓ*-ideals of  $\mathcal{F}_n$  (and hence *n*-generated linearly ordered Riesz spaces) correspond to the closures of the points of  $\mathcal{U}^n.$ 

We want to understand how these subsets of  $\mathcal{U}^n$  look like.

# Theorem (Orthogonal decomposition)

If  $x \in \mathcal{U}^n$ , then  $x = \alpha_1 v_1 + \cdots + \alpha_k v_k$  where  $\alpha_1, \ldots, \alpha_k \in \mathcal{U}$  are positive,  $\alpha_{i+1}/\alpha_i$  is infinitesimal for each  $i < k$ , and  $v_1, \ldots, v_k \in \mathbb{R}^n$  are orthonormal vectors. Furthermore, this decomposition is unique.

# Definition

- We call a finite sequence  $(\mathsf{v}_1,\ldots,\mathsf{v}_k)$  of orthonormal vectors in  $\mathbb{R}^n$  an index.
- We denote by  $\iota(x)$  the index  $(v_1, \ldots, v_k)$  made of the vectors appearing in the orthogonal decomposition of  $x \in \mathcal{U}^n$ .
- Let **v**, **w** be two indexes. We write  $v \leq w$  when **v** is a truncation of **w**, i.e. **v** =  $(v_1, ..., v_h)$  and **w** =  $(v_1, ..., v_k)$  for  $h \leq k$ .

### Definition

If **v** is an index, let Cone(**v**) := {
$$
y \in \mathcal{U}^n | \iota(y) \leq \mathbf{v}
$$
 }.

#### Theorem

The closure of x in  $\mathcal{U}^n$  is Cone( $\iota(x)$ ).

### Proposition

If  $x \in \mathcal{U}^n$ , then

$$
\mathsf{Def}(\mathsf{Cone}(\iota(x))) \cong \{^*f(x) \in \mathcal{U} \mid f : \mathbb{R}^n \to \mathbb{R} \text{ piecewise linear}\}
$$

$$
= \{^*f(x) \in \mathcal{U} \mid f : \mathbb{R}^n \to \mathbb{R} \text{ linear}\}.
$$

So, each n-generated linearly ordered Riesz spaces is of the form  $\{ {}^*f(x) \mid f : \mathbb{R}^n \to \mathbb{R} \text{ linear} \}$  for some  $x \in \mathcal{U}^n$ .

Let  $\varepsilon \in \mathcal{U}$  be a positive infinitesimal and  $x = (1, \varepsilon)$ . Then

$$
x=1(1,0)+\varepsilon(0,1)
$$

is the orthogonal decomposition of x. Thus,  $\iota(x) = (\nu_1, \nu_2)$  with  $v_1 = (1, 0)$  and  $v_2 = (0, 1)$ . We have

 $y \in Cone(\iota(x))$  iff  $y = 0$ , or  $y = \alpha_1(1,0)$  (orthogonal decomposition), or  $y = \alpha_1(1,0) + \alpha_2(0,1)$  (orthogonal decomposition)

Then Cone $(\iota(x))$ , i.e. the closure of x in  $\mathcal{U}^2$  is

 $\{(\alpha_1,\alpha_2)\in \mathcal{U}^2\mid \alpha_1>0,\,\,\alpha_2\geq 0\,\, \text{and}\,\, \alpha_2/\alpha_1\,\, \text{is infinitesimal}\}\cup \{\mathcal{O}\}.$ 

$$
\overbrace{\phantom{(\mathcal{L}_{\mathcal{X}}\circ \mathcal{X}}{X=(1,\varepsilon)}}^{\bullet}.
$$

The dual Riesz space is  $\mathbb{R}\overrightarrow{\times}\mathbb{R}.$  Indeed,

$$
\begin{aligned} \mathrm{Def}(\mathrm{Cone}(\iota(x))) &\cong \{^*f(1,\varepsilon) \mid f: \mathbb{R}^n \to \mathbb{R} \text{ linear}\} \\ &= \{a + b\varepsilon \in \mathcal{U} \mid a, b \in \mathbb{R}\} \cong \mathbb{R} \overrightarrow{\times} \mathbb{R}. \end{aligned}
$$

#### Theorem

I ∘ Cone: **v**  $\mapsto$  I(Cone(**v**)) induces an order-isomorphism between the set of indexes ordered by truncation and  $Spec(\mathcal{F}_n)$  ordered by reverse inclusion.

If  $\mathbf{v} = (v_1, \dots, v_k)$  is an index, then we call a subset of  $\mathbb{R}^n$  a **v**-cone if it is the positive span of  $\{\sum_{i=1}^h r_i v_i \mid h \leq k\}$  for some  $0 < r_1, \ldots, r_k \in \mathbb{R}$ .

#### Theorem

Cone(**v**) is the intersection of the enlargements of all the **v**-cones.

Corollary (Panti 1999)

Every prime  $\ell$ -ideal of PWL(R<sup>n</sup>) is of the form

 ${f \in \text{PWL}(\mathbb{R}^n) \mid f \text{ vanishes on some } \mathbf{v}\text{-cone}}$ 

for some index **v**.

Main idea of the proof: Let  $f \in PWL(\mathbb{R}^n)$ . By the transfer principle,  ${}^*f$ vanishes on Cone(**v**) iff f vanishes on some **v**-cone.

Recall: if we map  $P \in \text{Spec}(\mathscr{F}_n)$  to a point  $x \in \mathcal{U}^n$  such that  $\mathsf{V}(P)$  is the closure of x, then we get an embedding  $\mathscr{E} \colon \mathop{\mathrm{Spec}}\nolimits(\mathscr{F}_n) \to \mathcal{U}^n.$ 

Indexes allow us to choose x for every P in a canonical way (modulo fixing a positive infinitesimal  $\varepsilon \in \mathcal{U}$ ).

If  $P \in \text{Spec}(\mathscr{F}_n)$ , then there is a unique index  $\mathbf{v} = (v_1, \ldots, v_k)$  such that  $V(P) = Cone(v)$ . Define

$$
\mathscr{E}(P) \coloneqq v_1 + \varepsilon v_2 + \cdots + \varepsilon^{k-1} v_k.
$$

If P is a maximal *ℓ*-ideal, then the corresponding index consists of a single vector  $\mathbf{v} = (v_1)$ . Therefore,  $\mathscr{E}(P) \in \mathbb{R}^n$ .

We have  $\mathscr{E}[\text{Spec}(\mathscr{F}_1)] = \{-1,1\} \subseteq \mathcal{U}$ .



Note that  $Spec(\mathscr{F}_1) = MaxSpec(\mathscr{F}_1)$ .

# $Spec(\overline{\mathcal{F}}_2)$

We have  $\mathscr{E}[\mathtt{MaxSpec}(\mathscr{F}_2)]=\mathcal{S}^1\subseteq\mathbb{R}^2\subseteq\mathcal{U}^2.$ 











 $Spec(\mathscr{F}_3)$ 

We have  $\mathscr{E}[\texttt{MaxSpec}(\mathscr{F}_3)] = S^2 \subseteq \mathbb{R}^3 \subseteq \mathcal{U}^3.$ 









# THANK YOU!